What Does My QA Model Know? Devising Controlled Probes using Expert Knowledge

Kyle Richardson, Ashish Sabharwal

Allen Institute for Artificial Intelligence (AI2), Seattle WA.

EMNLP 2020 (TACL track)

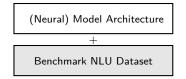
# Probing Natural Language Understanding (NLU) Models

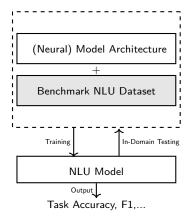
Probing: understanding the strengths/weaknesses of models; measuring model competence qualitatively; behavioral (input/output) testing.

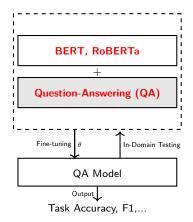
# Probing Natural Language Understanding (NLU) Models

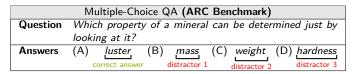
Probing: understanding the strengths/weaknesses of models; measuring model competence qualitatively; behavioral (input/output) testing.

(Neural) Model Architecture

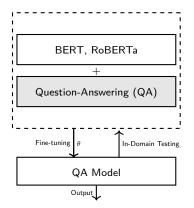








#### Qualitative Analysis of Models



#### Desiderata

Does my model know about Taxonomic relations, definitions, synonymy, robust to perturbations/consistent, ....?

 QA in the Science domain, well studied qualitatively (Clark et al., 2018; Boratko et al., 2018), though analyses tend to be anecdotal and post-hoc.

 QA in the Science domain, well studied qualitatively (Clark et al., 2018; Boratko et al., 2018), though analyses tend to be anecdotal and post-hoc.

ARC Challenge (Clark et al., 2018)			
Question	n What is a worldwide increase in temperature called ?		
	Definition		
Answers	<ul><li>(A) greenhouse effect (B) global warming (C) ozone depletion</li></ul>		
(D) solar heating.			
Knowledge: DEF(global warming,worldwide increase in)			

 QA in the Science domain, well studied qualitatively (Clark et al., 2018; Boratko et al., 2018), though analyses tend to be anecdotal and post-hoc.

ARC Challenge (Clark et al., 2018)			
Question	What is a worldwide increase in temperature called ?		
	Definition		
Answers	(A) greenhouse effect (B) global warming (C) ozone depletion		
(D) solar heating.			
Knowledge: DEF(global warming, worldwide increase in)			

OpenBookQA (Mihaylov et al., 2018)			
Question	uestion Which of the following is a type of learned behavior?		
ISA reasoning			
Answers	(A) cooking (B) thinking (C) hearing (D) breathing		
Knowledge: ISA(cooking,learned behavior)			

 QA in the Science domain, well studied qualitatively (Clark et al., 2018; Boratko et al., 2018), though analyses tend to be anecdotal and post-hoc.

ARC Challenge (Clark et al., 2018)			
Question	Question What is a worldwide increase in temperature called ?		
	Definition		
Answers	(A) greenhouse effect (B) global warming (C) ozone depletion		
(D) solar heating.			
Knowledge: DEF(global warming, worldwide increase in)			

OpenBookQA (Mihaylov et al., 2018)			
Question	Which of the following is a type of learned behavior?		
	ISA reasoning		
Answers	(A) cooking (B) thinking (C) hearing (D) breathing		
Knowledge: ISA(cooking,learned behavior)			

Do models truly possess the basic knowledge/reasoning skills we think they do? Hard to say without **specialized tests**.

 QA in the Science domain, well studied qualitatively (Clark et al., 2018; Boratko et al., 2018), though analyses tend to be anecdotal and post-hoc.

ARC Challenge (Clark et al., 2018)			
Question	uestion What is a worldwide increase in temperature called ?		
	Definition		
Answers	(A) greenhouse effect (B) global warming (C) ozone depletion		
(D) solar heating.			
Knowledge: DEF(global warming, worldwide increase in)			

OpenBookQA (Mihaylov et al., 2018)			
Question	Which of the following is a type of learned behavior?		
ISA reasoning			
Answers	Answers (A) cooking (B) thinking (C) hearing (D) breathing		
Knowledge: ISA(cooking,learned behavior)			

To demonstrate competence a model should:

- 1. have knowledge across a *many concepts;*
- 2. be robust to *perturbations*
- 3. and varying levels of reasoning complexity.

 QA in the Science domain, well studied qualitatively (Clark et al., 2018; Boratko et al., 2018), though analyses tend to be anecdotal and post-hoc.

	ARC Challenge (Clark et al., 2018)		
Question	Question What is the thinning of Earth's upper atmosphere called ?		
	Definition		
Answers	(A) greenhouse effect (B) global warming (C) ozone depletion		
	(D) solar heating.		
Knowledge: DEF(ozone depletion, thinning of the Earth's)			

OpenBookQA (Mihaylov et al., 2018)			
Question	Question Which of the following is a type of learned behavior?		
ISA reasoning			
Answers	(A) cooking (B) thinking (C) hearing (D) breathing		
Knowledge: ISA(cooking,learned behavior)			

To demonstrate competence a model should:

- 1. have knowledge across a *many concepts;*
- 2. be robust to *perturbations*
- 3. and varying levels of reasoning complexity.

 QA in the Science domain, well studied qualitatively (Clark et al., 2018; Boratko et al., 2018), though analyses tend to be anecdotal and post-hoc.

	ARC Challenge (Clark et al., 2018)		
Question	Question What is the thinning of Earth's upper atmosphere called ?		
	Definition		
Answers	(A) greenhouse effect (B) global warming (C) ozone depletion		
	(D) solar heating.		
Knowledge: DEF(ozone depletion, thinning of the Earth's)			

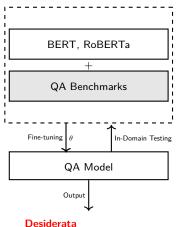
OpenBookQA (Mihaylov et al., 2018)			
Question	Which of the following is a type form of learned behavior?		
ISA reasoning			
Answers	(A) cooking (B) thinking (C) hearing (D) breathing eating		
Knowledge: ISA(cooking,learned behavior)			

To demonstrate competence a model should:

- 1. have knowledge across a *many concepts;*
- 2. be robust to *perturbations*
- 3. and varying levels of reasoning complexity.

#### Diagnostic Tasks for NLU

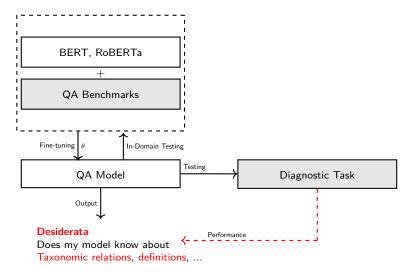
Unit testing (Ribeiro et al., 2020), LMs as KBs (Petroni et al., 2019), challenge tasks (Glockner et al., 2018; Richardson et al., 2020); inter alia.



Does my model know about Taxonomic relations, definitions, ...

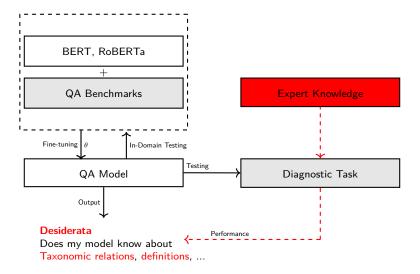
#### Diagnostic Tasks for NLU

Unit testing (Ribeiro et al., 2020), LMs as KBs (Petroni et al., 2019), challenge tasks (Glockner et al., 2018; Richardson et al., 2020); inter alia.



#### Diagnostic Tasks for NLU

Unit testing (Ribeiro et al., 2020), LMs as KBs (Petroni et al., 2019), challenge tasks (Glockner et al., 2018; Richardson et al., 2020); inter alia.



#### <Building Diagnostic Tasks>

A model should 1. have knowledge across many concepts ; 2. robust to perturbations ; 3. varying complexity .

- A model dataset should 1. have test knowledge across many concepts ;
  - 2. robust to have perturbations ; 3. varying controlled complexity .

**Assumption**: we can demonstrate that models exhibit these properties by testing them on data that has these properties...

- A model dataset should 1. have test knowledge across many concepts ;
  - 2. robust to have perturbations ; 3. varying controlled complexity .

**Assumption**: we can demonstrate that models exhibit these properties by testing them on data that has these properties...

Arg1	Arg2	REL	EX
nestle.v	position	DEF	The baby
	comfort-		nestled her
	ably		head
elude.v	escape.v	ISA	The thief
			eluded po-
			lice
trouser.n	consumer	ISA	The man
	good.n		bought
			trousers
poet.n	writer.n	ISA	

Expert Knowledge (KBs, lexical ontologies)

- A model dataset should 1. have test knowledge across many concepts ;
  - 2. robust to have perturbations ; 3. varying controlled complexity .

**Assumption**: we can demonstrate that models exhibit these properties by testing them on data that has these properties...

templates

Expert Knowledge (KBs, lexical ontologies)

Arg1	Arg2	REL	EX
nestle.v	position	DEF	The baby
	comfort-		nestled her
	ably		head
elude.v	escape.v	ISA	The thief
			eluded po-
			lice
trouser.n	consumer	ISA	The man
	good.n		bought
			trousers
poet.n	writer.n	ISA	

#### **Probing Questions**

	Question	Answer	Test
	Given 'The baby nes-	position	def
	tled her head', <b>nes</b> -	comfort-	
	tled is defined as	ably	
	In 'we had to spell our	recite	isa
$\rightarrow$	name for the police',	event	
Ċ	spell is a type of		
	In the context, 'the	a writer of	def
	poet published his	poems	
	new poem', <b>poet</b> is		
	best defined as		

- A model dataset should 1. have test knowledge across many concepts ;
  - 2. robust to have perturbations ; 3. varying controlled complexity .

ter

distr

Assumption: we can demonstrate that models exhibit these properties by testing them on data that has these properties...

Expert Knowledge (KBs, lexical ontologies)

Arg1	Arg2	REL	EX
nestle.v	position	DEF	The baby
	comfort-		nestled her
	ably		head
elude.v	escape.v	ISA	The thief
			eluded po-
			lice
trouser.n	consumer	ISA	The man
	good.n		bought
			trousers
poet.n	writer.n	ISA	

#### **Probing Questions**

Question	Answer	Test				
Given 'The baby nes-	position	def				
tled her head', nes-	comfort-					
tled is defined as	ably					
In 'we had to spell our	recite	isa				
name for the police',	event					
spell is a type of						
In the context, 'the	a writer of	def				
poet published his	poems					
new poem', poet is						
best defined as						
ment/taxonomic constraints						
Diagnostic Task						
	Given 'The baby nes- tled her head', nes- tled is defined as In 'we had to spell our name for the police', <b>spell</b> is a type of In the context, 'the poet published his new poem', <b>poet</b> is best defined as ment/taxonomic constraints	Given 'The baby nes- tled her head', nes- comfort- tled is defined as In 'we had to spell our name for the police', event spell is a type of In the context, 'the poet published his new poem', poet is best defined as ment/taxonomic constraints				

- A model dataset should 1. have test knowledge across many concepts ;
  - 2. robust to have perturbations ; 3. varying controlled complexity .

Assumption: we can demonstrate that models exhibit these properties by testing them on data that has these properties...

Expert Knowledge (KBs, lexical ontologies)

Probing	Questions
---------	-----------

Arg1	Arg2	REL	EX		Question	Answer	Test
nestle.v	position comfort- ably	DEF	The baby nestled her head		Given 'The baby nes- tled her head', nes- tled is defined as	position comfort- ably	def
elude.v	escape.v	ISA	The thief eluded po- lice	templates >	In 'we had to spell our name for the police', spell is a type of	recite event	isa
trouser.n	consumer good.n	ISA	The man bought trousers		In the context, 'the poet published his new poem', poet is	a writer of poems	def
poet.n	writer.n	ISA			best defined as		
				distractor assign	ment/taxonomic constraints		
					Diagnosti	c Task	

Meta-level QA: Asking questions about abstract knowledge; many concepts (1.  $\checkmark$ ); controlled templates/distractor complexity (2. $\checkmark$  3.  $\checkmark$ )

- A model dataset should 1. have test knowledge across many concepts ;
  - 2. robust to have perturbations ; 3. varying controlled complexity .

Assumption: we can demonstrate that models exhibit these properties by testing them on data that has these properties...

Expert Knowledge (KBs, lexical ontologies)

Probing	Questions
---------	-----------

Arg1	Arg2	REL	EX		Question	Answer	Test
nestle.v	position comfort- ably	DEF	The baby nestled her head		Given 'The baby nes- tled her head', nes- tled is defined as	position comfort- ably	def
elude.v	escape.v	ISA	The thief eluded po- lice	templates	In 'we had to spell our name for the police', spell is a type of	recite event	isa
trouser.n	consumer good.n	ISA	The man bought trousers		In the context, 'the poet published his new poem', poet is	a writer of poems	def
poet.n	writer.n	ISA 			best defined as		
				distractor assign	ment/taxonomic constraints		
					Diagnosti	c Task	

**Trade-offs**: KBs tends to be noisy; dealt by synthesizing large amount of data, contextualizing questions, gold test annotation (where needed).

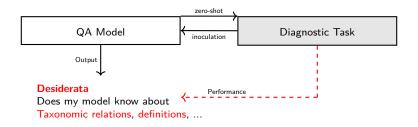
#### **Example Diagnostics**

- Resources: WordNet, GCIDE dictionary; 5 individual tasks: Definitions, Synonymy, Hypernymy (ISA), and Hyponymy (ISA), WordSense.
  - WordNet tasks involve ~ 30k atomic concepts, exhaustive combinations of distractors.

Probe	Example								
Definitions +	In the sentence The baby nestled her head , the word nestled is best defined as								
Word Sense	position comfortably (B) put in a certain place(C) a type of fish								
	correct answer hard/close distractor easy/random distractor								
Hypernymy	In The thief eluded the police , the word of concept eluded is best described as (A)								
(ISA)	(B) an escape event, defined as (C)								
	correct answer								
Hyponymy	Given the context They awaited her arrival , which of the following is a specific type								
(ISA)	of arrival (A) driving a car (B) crash landing, defined as								
	related concept correct answer								
Synonymy	Which set of words best corresponds to the definition of								
	a grammatical category in inflected languages (A) gender (B)								
	correct answer								

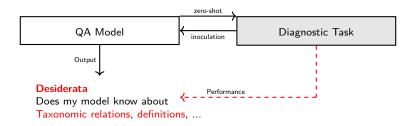
## Probing Methodology and Experiments

- Trained single models (BERT, RoBERTa) on aggregated science QA dataset (4 benchmarks); Ask the following empirical questions:
  - 1. How well do benchmark models perform on each *individual* probing on diagnostic task without specialized training (**zero-shot**)?
  - 2. How well models perform after a small amount of additional training on probes (inoculation (Liu et al., 2019))?



## Probing Methodology and Experiments

- Trained single models (BERT, RoBERTa) on aggregated science QA dataset (4 benchmarks); Ask the following empirical questions:
  - 1. How well do benchmark models perform on each *individual* probing on diagnostic task without specialized training (**zero-shot**)?
  - 2. How well models perform after a small amount of additional training on probes (inoculation (Liu et al., 2019))?



**Controls**: Probes should be demonstrably difficult (**strong baselines**); Re-training must preserve performance (minimal **inoculation loss**).

#### <General Findings>

# 1. Zero-shot performance (*Challenge Task* setting)

Without specialized training, models do well on *some* categories of knowledge; sometimes far outpace baselines trained on diagnostics.

# 1. Zero-shot performance (*Challenge Task* setting)

Without specialized training, models do well on *some* categories of knowledge; sometimes far outpace baselines trained on diagnostics.

Diagnostic performance (QA Accuracy %; random ~ 30%)						
Model	Definitions	Synonymy	Hypernymy	Hyponymy	WordSense	
trained LSTM + GloVe	51.8%	55.3%	47.0%	64.2%	53.5%	
BERT (zero-shot)	55.7%	60.9%	51.0%	27.0%	- 42.9% 1	
RoBERTa (zero-shot)	77.1 %	64.2%	71.0%	58.0%	55.1%	
- Human	91.2%	87.4%	96%	95.5%	95.6%	

# 1. Zero-shot performance (*Challenge Task* setting)

Without specialized training, models do well on *some* categories of knowledge; sometimes far outpace baselines trained on diagnostics.

Diagnostic performance (QA Accuracy %; random ~ 30%)						
Model	Definitions	Synonymy	Hypernymy	Hyponymy	WordSense	
trained LSTM + GloVe	51.8%	55.3%	47.0%	64.2%	53.5%	
BERT (zero-shot)	55.7%	60.9%	51.0%	27.0%	- <del>4</del> 2.9%	
RoBERTa (zero-shot)	77.1 %	64.2%	71.0%	58.0%	55.1%	
Human	91.2%	87.4%	96%	95.5%	95.6%	

**Caveats:** Reflect true model knowledge or (non-)familiarity with format? Lower-bound estimate (Petroni et al., 2019).

## 2. Continue training (inoculation setting)

Bring out knowledge by continue training with a small *dosage* (Liu et al., 2019) of diagnostic data, inoculate against dataset.

Diagnostic performance (QA Accuracy %; random ~ 30%)

Model	Definitions	Synonymy	Hypernymy	Hyponymy	WordSense
BERT (inoculation)	84.1%	79.7%	82.7%	88.0%	79.1%
RoBERTa (inoculation)	89.3 %	81.3%	87.0%	89.4%	85.4%
- Human	91.2%	87.4%	96%	95.5%	95.6%

## 2. Continue training (inoculation setting)

Bring out knowledge by continue training with a small *dosage* (Liu et al., 2019) of diagnostic data, inoculate against dataset.

Diagnostic performance (QA Accuracy %; random ~ 30%)

Model	Definitions	Synonymy	Hypernymy	Hyponymy	WordSense
BERT (inoculation)	84.1%	79.7%	82.7%	88.0%	79.1%
RoBERTa (inoculation)	89.3 %	81.3%	87.0%	89.4%	85.4%
Human	91.2%	87.4%	96%	95.5%	95.6%

Giving the model the chance to learn **target format** is important, gives better picture of competence; minimal loss on original task.

## 2. Continue training (inoculation setting): nuances

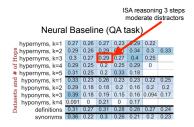
The controlled nature of the probes allows for a more granular examination of performance.

The controlled nature of the probes allows for a more granular examination of performance.

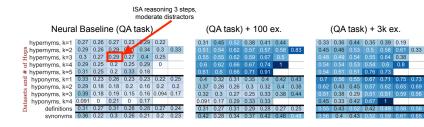
### Neural Baseline (QA task)

hypernyms, k=1	0.27	0.26	0.27	0.23	0.29	0.22	
& hypernyms, k=2	0.29	0.26	0.29	0.31	0.34	0.3	0.33
hypernyms, k=3	0.3	0.27	0.29	0.27	0.4	0.25	
bypernyms, k=4	0.29	0.25	0.2	0.25	0.29	0	
hypernyms, k=5	0.31	0.25	0.2	0.33	0.18		
2 hyponyms, k=1	0.33	0.23	0.26	0.23	0.23	0.22	0.25
hyponyms, k=2	0.29	0.18	0.18	0.2	0.16	0.2	0.2
byponyms, k=3	0.39	0.18	0.19	0.15	0.16	0.094	0.17
hyponyms, k=1 hyponyms, k=2 hyponyms, k=3 hyponyms, k=4 definitions	0.091	0	0.21	0	0.17		
definitions	0.31	0.27	0.31	0.28	0.28	0.27	0.24
synonyms	0.36	0.22	0.3	0.26	0.21	0.2	0.23

The controlled nature of the probes allows for a more granular examination of performance.

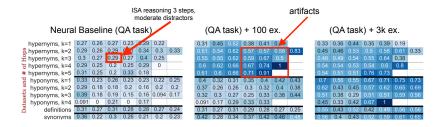


The controlled nature of the probes allows for a more granular examination of performance.

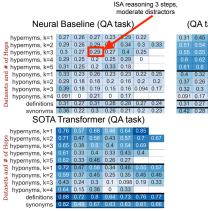


0.75

The controlled nature of the probes allows for a more granular examination of performance.



The controlled nature of the probes allows for a more granular examination of performance.



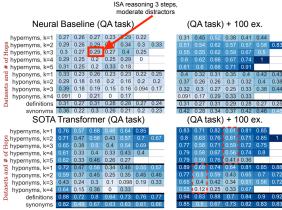
### (QA task) + 100 ex.

0.31	0.45		0.38	0.41	0.44	
						0.83
		0.66	0.67			
		0.66		0.91		
0.4	0.32	0.31	0.35	0.4	0.42	0.43
0.37	0.26	0.26	0.3	0.32	0.4	0.38
0.32	0.3	0.27	0.25	0.33	0.38	0.44
0.091	0.17	0.29	0.33	0.33		
0.31	0.27	0.31	0.29	0.28	0.27	0.25
0.42	0.28	0.34	0.37	0.42	0.46	0.48

#### (QA task) + 3k ex.

0.33	0.36	0.44	0.35	0.39	0.19	
0.45	0.46				0.61	0.33
					0.38	
					0.8	
0.54	0.51	0.51	0.76	0.73		
0.7	0.56	0.55	0.67	0.71	0.75	0.73
	0.43	0.45				0.69
	0.38	0.29				0.56
0.45	0.33	0.42	0.67			
0.55	0.43	0.52	0.42	0.49	0.56	0.56

The controlled nature of the probes allows for a more granular examination of performance.



### (QA task) + 100 ex.

0.31	0.45		0.38	0.41	0.44	
						0.83
					1	
		0.66		0.91		
0.4	0.32	0.31	0.35	0.4	0.42	0.43
0.37	0.26	0.26	0.3	0.32	0.4	0.38
0.32	0.3	0.27	0.25	0.33	0.38	0.44
0.091	0.17	0.29	0.33	0.33		
0.31	0.27	0.31	0.29	0.28	0.27	0.25
0.42	0.28	0.34	0.37	0.42	0.46	0.48
(QA task) + 100 ex.						

0 71 0 59

0.67 0.56

0.67 0.73

0.41

0.85 0.88

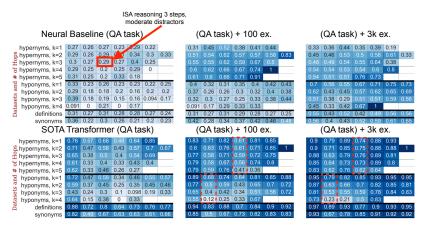
0.9 0.92

0.82 0.83 0.83

#### (QA task) + 3k ex.

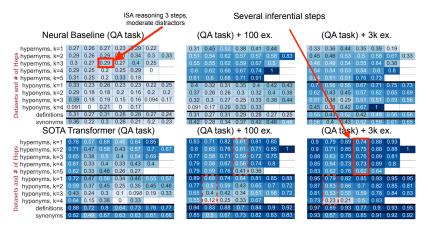
0.33	0.36	0.44	0.35	0.39	0.19	
0.45	0.46				0.61	0.33
					0.38	
					0.8	
0.54	0.51	0.51	0.76	0.73		
0.7	0.56	0.55	0.67	0.71	0.75	0.73
	0.43	0.45				0.69
	0.38	0.29				0.56
0.45	0.33	0.42	0.67			
0.55	0.43	0.52	0.42	0.49	0.56	0.56
0.56	0.4	0.43	0.52	0.59	0.61	0.65

The controlled nature of the probes allows for a more granular examination of performance.



Can nudge the models to bring out knowledge with small set of examples, cheap way to **inject knowledge** into transformers.

The controlled nature of the probes allows for a more granular examination of performance.



Model does show sensitivity to reasoning complexity; is not always

consistent across predictions. Hard to determine if model has knowledge.

# </General Findings>

### Conclusions

- Probing with expert knowledge: systematically constructed diagnostic tasks; supplement current QA research.
- Proposed 5 diagnostic tasks to look at performance of SOTA QA models for science; used lexical KBs (Wordnet) and other dictionaries.

### Conclusions

- Probing with expert knowledge: systematically constructed diagnostic tasks; supplement current QA research.
- Proposed 5 diagnostic tasks to look at performance of SOTA QA models for science; used lexical KBs (Wordnet) and other dictionaries.
  - Models do exhibit impressive amounts of lexical and other structured knowledge.

### Conclusions

- Probing with expert knowledge: systematically constructed diagnostic tasks; supplement current QA research.
- Proposed 5 diagnostic tasks to look at performance of SOTA QA models for science; used lexical KBs (Wordnet) and other dictionaries.
  - Models do exhibit impressive amounts of lexical and other structured knowledge.
  - Probing is difficult! Hard to achieve definitive proof of model knowledge (noisy knowledge, dataset biases).

# Thank you.

### References I

- Boratko, M., Padigela, H., Mikkilineni, D., Yuvraj, P., Das, R., McCallum, A., Chang, M., Fokoue-Nkoutche, A., Kapanipathi, P., Mattei, N., et al. (2018). A systematic classification of knowledge, reasoning, and context within the arc dataset. arXiv preprint arXiv:1806.00358.
- Clark, P., Cowhey, I., Etzioni, O., Khot, T., Sabharwal, A., Schoenick, C., and Tafjord, O. (2018). Think you have solved question answering? try arc, the ai2 reasoning challenge. arXiv preprint arXiv:1803.05457.
- Glockner, M., Shwartz, V., and Goldberg, Y. (2018). Breaking NLI Systems with Sentences that Require Simple Lexical Inferences. *arXiv preprint arXiv:1805.02266*.
- Liu, N. F., Schwartz, R., and Smith, N. A. (2019). Inoculation by Fine-Tuning: A Method for Analyzing Challenge Datasets. arXiv preprint arXiv:1904.02668.
- Mihaylov, T., Clark, P., Khot, T., and Sabharwal, A. (2018). Can a suit of armor conduct electricity? a new dataset for open book question answering. *arXiv* preprint arXiv:1809.02789.
- Petroni, F., Rocktäschel, T., Lewis, P., Bakhtin, A., Wu, Y., Miller, A. H., and Riedel, S. (2019). Language Models as Knowledge Bases? arXiv preprint arXiv:1909.01066.
- Ribeiro, M. T., Wu, T., Guestrin, C., and Singh, S. (2020). Beyond accuracy: Behavioral testing of nlp models with checklist. *Proceedings of ACL*.
- Richardson, K., Hu, H., Moss, L. S., and Sabharwal, A. (2020). Probing Natural Language Inference Models through Semantic Fragments. In AAAI, pages 8713–8721.